



SMIFS CAPITAL MARKETS LIMITED

April 05, 2022

The Secretary
The Calcutta Stock Exchange Limited
7, Lyotis Range
Kolkata - 700 001

Sub: Regulation 31 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir,

We are forwarding the Statement showing Shareholding as per Regulation 31 of the (Listing Obligations & Disclosure Requirements) Regulations, 2015 as on March 31, 2022 for your kind reference and record.

Kindly acknowledge.

Yours faithfully,
For SMIFS Capital Markets Limited

(Poonam Bhatia)
Company Secretary-cum-Senior Manager Legal



Encl: a/a

0/c

General information about company	
Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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For SMIFS CAPITAL MARKETS LIMITED

Poonam Bhatia

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No	No	No
7	Whether company has equity shares with differential voting rights?	No	No		
8	Whether the listed entity has any significant beneficial owner?	No	No	No	No

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Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category y (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)
(A)	Promoter & Promoter Group	12	2534051			2534051
(B)	Public	1288	3050949			3050949
(C)	Non Promoter- Non Public					
(C1)	Shares underlying DRs					
(C2)	Shares held by Employee Trusts					
	Total	1300	5585000			5585000

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Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rightsheld in each class of securities(IX)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)
	No of Voting (XIV) Rights			Total as a % of (A+B+C)		
	Class eg: X	Class eg: Y	Total			
45.37	25,34,051.00		2534051.00	45.37		
54.63	3050949.00		3050949.00	54.63		
100	5585000.00		5585000.00	100.00		

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
	45.37					2534051
	54.63					3003009
	100					5537060

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								Number of Voting Rights held in each class of securities	
								No of Voting (XIV)Rights	
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg: Y
A									
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian								
(a)	Individuals/Hindu undivided family	9	784350			784350	14.04	784350.00	
(b)	Central Government/ State Government(s)								
(c)	Financial Institutions/ Banks								
(d)	Any Other (specify)	3	1749701			1749701	31.33	1749701.00	
Sub-Total (A)(1)		12	2534051			2534051	45.37	2534051.00	
(2)	Foreign								
(a)	Individuals (NonResident Individuals/ Foreign Individuals)								
(b)	Government								
(c)	Institutions								
(d)	Foreign Portfolio Investor								
(e)	Any Other (specify)								
Sub-Total (A)(2)									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		12	2534051			2534051	45.37	2534051.00	
Details of Shares which remain unclaimed for Promoter & Promoter Group									
B									
Table III - Statement showing shareholding pattern of the Public shareholder									
Note: Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.									
(1)	Institutions								
(a)	Mutual Funds								
(b)	Venture Capital Funds								
(c)	Alternate Investment Funds								
(d)	Foreign Venture Capital Investors								
(e)	Foreign Portfolio Investors	1	550000			550000	9.85	550000	
(f)	Financial Institutions/ Banks								
(g)	Insurance Companies								
(h)	Provident Funds/ Pension Funds								

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(i)	Any Other (specify)								
	Sub-Total (B)(1)	1	550000			550000	9.85	550000.00	
(2)	Central Government/ State Government(s)/ President of India								
	Sub-Total (B)(2)								
(3)	Non-Institutions								
(a)(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1191	548713			548713	9.82	548713	
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	1009473			1009473	18.07	1009473	
(b)	NBFCs registered with RBI								
(c)	Employee Trusts								
(d)	Overseas Depositories (holding DRs) (balancing figure)								
(e)	Any Other (specify)	86	942763			942763	16.88	942763	
	Sub-Total (B)(3)	1287	2500949			2500949	44.78	2500949.00	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	1288	3050949			3050949	54.63	3050949.00	

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(1)	Custodian/DP Holder - Name of DP Holders (if Available)								
(2)	Employee Benefit Trust (under SFPS/Share based Employee Benefit Regulations, 2019)								
	Total NonPromoter- Non Public Shareholding (C) = (C)(1)+(C)(2)								
	Total (A+B+C)	1300	5585000			5585000	100.00	5585000.00	
	Total (A+B+C)	1300	5585000			5585000	100.00	5585000.00	
Disclosure of notes on shareholding pattern								Add Notes	
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage								Add Notes	
Disclosure of notes in case of public share holding is less than 25 percentage								Add Notes	
Disclosure of notes on shareholding pattern for company remarks explanatory								Add Notes	

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550000	9.85			9.85			550000
548713	9.82			9.82			509873
1009473	18.07			18.07			1009473
942763	16.88			16.88			933663
2500949	44.78			44.78			2453009
3050949	54.63			54.63			3003009

5585000	100.00				100.00		5537060
5585000	100.00				100.00		5537060

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(XI)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type	
						No of Voting (XIV) Rights		Total as a % of Total Voting rights						
						Class eg: X	Total							
A1(a) Individuals/Hindu undivided Family														
1	AJAY KUMAR KAYAN	AGGPK0892G	400550	400550	7.17	400550.00	400550.00	7.17		7.17	400550		Promoter	
2	LALITA KAYAN	AGGPK0893H	154300	154300	2.76	154300.00	154300.00	2.76		2.76	154300		Promoter Group	
3	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00	123000.00	2.20		2.20	123000		Promoter Group	
4	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00	1.72		1.72	96200		Promoter	
5	RAHUL KAYAN	AGAPK0442L	7000	7000	0.13	7000.00	7000.00	0.13		0.13	7000		Promoter Group	
6	SUMAN BHARTIA	AFFPB9602B	1500	1500	0.03	1500.00	1500.00	0.03		0.03	1500		Promoter Group	
7	GAURI SHANKAR AJAY KAYAN (HUF)	AABHGS183R	1000	1000	0.02	1000.00	1000.00	0.02		0.02	1000		Promoter Group	
8	AJAY KUMAR KAYAN HUF	AACHA9000B	700	700	0.01	700.00	700.00	0.01		0.01	700		Promoter Group	
9	PAYAL SARAF	ATYPR045N	100	100	0.00	100.00	100.00	0.00		0.00	100		Promoter Group	
Click here to go back			Total	784350	784350	14.04	784350.00	784350.00	14.04	14.04	784350			

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Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Vot
								No of Vot
								Rig
								Class eg: X
A1(d)	Any Other (specify)							
<div><div></div><div></div></div>								
1	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM5680Q	1	1115700	1115700	19.98	1115700.00
2	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	357251	357251	6.40	357251.00
3	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS8612C	1	276750	276750	4.96	276750.00
Total				3	1749701	1749701	31.33	1749701.00

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ing Rights held in each class of securities		No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
ting (XIV)	hts					
Total	Total as a % of Total Voting rights					
1115700.00	19.98		19.98	1115700		Promoter
357251.00	6.40		6.40	357251		Promoter
276750.00	4.96		4.96	276750		Promoter
1749701.00	31.33		31.33	1749701		

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						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
B1(e) Foreign Portfolio Investors											
Disclosure of shareholder holding more than 1% of total number of shares											
1	THE INDIAMAN FUND (MAURITIUS) LIMITE	AAACT4855D	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	
	Click here to go back	Total	550000	550000	9.85	550000.00	550000.00	9.85	9.85	550000	

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						No of Voting (XIV) Rights		Total as a % of Total Voting rights			
						Class eg: X	Total				
B3(a)(ii) Individuals - II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.											
Disclosure of shareholder holding more than 1% of total number of shares											
1	MINAL BHARAT PATEL	AACPP5126G	323339	323339	5.79	323339.00	323339.00	5.79	5.79	323339	
2	SN RAJAN	ANWPS3969F	198024	198024	3.55	198024.00	198024.00	3.55	3.55	198024	
3	SANGEETHA S	AJPS3739F	182590	182590	3.27	182590.00	182590.00	3.27	3.27	182590	
4	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	97711	97711	1.75	97711.00	97711.00	1.75	1.75	97711	
Click here to go back			Total	801664	14.35	801664.00	801664.00	14.35	14.35	801664	

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Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (II)
B3(e)	Any Other (specify)				
1	IEPF	Category			1
2	Bodies Corporate	Category			38
3	HUF	Category			38
4	Clearing Members	Category			4
5	Non-Resident Indian (NRI)	Category			5
Click here to go back Total					86

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COMPLIANCE SECRETARY
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COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL